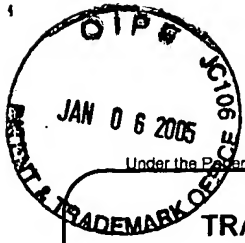


IFW



PTO/SB/21 (05-03)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/608,746
	Filing Date	June 27, 2003
	First Named Inventor	Dileep Kumar
	Art Unit	2131
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	SEC-1

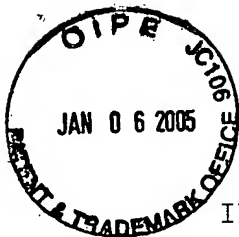
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks _____		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	G. Victor Treyz
Signature	<i>G. Victor Treyz</i>
Date	1/3/05

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	G. Victor Treyz
Signature	<i>G. Victor Treyz</i>
Date	1/3/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEC-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Dileep Kumar Panjwani  
Application No. : 10/608,746  
Confirmation No. : 7711  
Filed : June 27, 2003  
For : METHOD AND APPARATUS FOR DETECTION AND  
PREVENTION OF INTRUSIONS, COMPUTER  
WORMS, AND DENIAL OF SERVICE ATTACKS  
Group Art Unit : 2131  
Examiner :

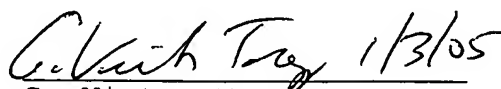
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

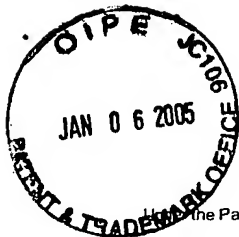
INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98,  
applicants hereby bring the attention of the Examiner to the  
documents listed on the attached Form PTO-1449 (submitted in  
duplicate). A copy of each listed document is enclosed herewith.

Respectfully Submitted,

  
G. Victor Treyz  
Reg. No. 36,294  
Attorney for Applicants  
Customer No. 36532



PTO/SB/08b (08-03)  
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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/608,746
		Filing Date	6/27/03
		First Named Inventor	Dileep Kumar Panjwani
		Art Unit	2131
		Examiner Name	
Sheet 1	of 1	Attorney Docket Number	SEC-1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		KORAL ILGUN et al. "State Transition Analysis: A Rule-Based Intrusion Detection Approach", IEEE Trans. Software Eng. Vol 21, No. 3, 3/95, pp 181-199	
		TERESA F. LUNT "A survey of intrusion detection techniques" Computers & Security, 12 (1993) pp 404-418 (Elsevier Science Publishers Ltd.).	
		TERESA F. LUNT et al. "A Real-Time Intrusion-Detection Expert System (IDES)", Final Technical Report, February 28, 1992 (SRI International) pp. 1-156	
		HERVE DEBAR et al. "Towards a Taxonomy of Intrusion-Detection Systems" (Elsevier Preprint), 28 October 1998 pp. 1-31	
		SHIUH-PYNG SHIEH et al. "On a Pattern-Oriented Model for Intrusion Detection" IEEE Trans. Knowledge and Data Eng. Vol. 9, No. 4 (1997) pp 661-7	
		HELEN J. WANG et al. "Shield: Vulnerability-Driven Network Filters for Preventing Known Vulnerability Exploits" SIGCOMM '04, Aug/Sep. 2004	
		"Computer Security Threat Monitoring and Surveillance" James P. Anderson Co., February 26, 1980, pp. 1-54	
		KENNETH J. MACLEOD "Patch Management and the Need for Metrics" SANS Institute (c) 2004 pp. 1-20	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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